

PATENT
Customer No. 22,852
Attorney Docket No. 7451.0001-17
InterTrust No.: IT-5.3.1.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Karl L. GINTER, et al.

Application No.: 09/764,370

Filed: January 19, 2001

For: SYSTEMS AND METHODS FOR
SECURE TRANSACTION
MANAGEMENT AND ELECTRONIC
RIGHTS PROTECTION

) Group Art Unit: 2131

) Examiner: G. Hayes

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Technology Center 2100

Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449. This Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application. Applicants also submit herewith copies of the listed documents.

The documents cited herein were cited by Microsoft in the InterTrust Tech. Corp. v. Microsoft Corp. litigation (C 01-1640 SBA, N. D. Ca.), which involves patents related to this patent application. See Notice Regarding Related Litigation, filed concurrently herewith. Some or all of these documents may have been previously cited in other Information Disclosure Statements filed in this application to which those applications

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claim priority under 35 U.S.C. §120. However, out of an abundance of caution, Applicants are bringing these documents to the Examiner's attention along with the additional information that these documents have been mentioned in the litigation. Applicants respectfully request that the Examiner consider the listed references and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the claimed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: 

Karna J. Nisewaner
Reg. No. 50,665

Dated: October 30, 2002

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